

# A NEW APPROACH TO SCALE-OUT RDBMS

## Massive Transactional Scale for an Internet-Connected World

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*ClustrixDB is a scale-out SQL database that is designed to scale horizontally—by adding cores and servers. The shared-nothing architecture provides an entirely new approach to query resolution by moving the query to the data—not the data to the query. Learn how this revolutionary technology makes it possible to scale a single database across nodes, and still support massive concurrency while delivering high performance, full relational functionality, transactional consistency (ACID), and seamless deployment.*

Clustrix has produced the first truly scalable, fault tolerant clustered database system. ClustrixDB supports the scalability and data model flexibility of a key-value store, while providing the robust relational functionality and ACID/immediate consistency and seamless deployment of a SQL system. ClustrixDB can handle queries from simple point selects and updates to complicated SQL joins and aggregates. It is optimized for highly transactional OLTP workloads and also works for OLAP queries. The ClustrixDB architecture can start small and expand seamlessly with business needs to arbitrarily scale. Tables can range from zero to billions of rows in size. Workloads can range from just a few transactions per second to hundreds of thousands. It can handle simple key/value operations to full ACID-compliant transactional SQL.

## Bring the Query to the Data, Not the Data to the Query

At the core of ClustrixDB is the ability to execute one query with maximum parallelism and many simultaneous queries with maximum concurrency. In order to do that, a fundamental change was needed in query resolution. A traditional monolithic database cannot scale simply by bolting on an expandable storage layer. A distributed storage engine with a traditional planner and execution environment does not allow sufficient concurrency to scale a table to billions of rows and still obtain reasonable performance. A new approach is needed that encompasses the entire stack from the query compiler down to the storage engine.

The key observation to be made is that local queries can be satisfied with local locking, local data, and local cache. A query operating on local data need not talk to other nodes. Locks on the data structures can be very short lived. Operations on different bits of data can be completely independent and operate with perfect parallelism. The amount of total concurrency supported becomes a simple function on the number of independent data stores that contain that data. The magic then becomes the engine that ties these independent, high-performance data stores into a global single-instance database. It is the ClustrixDB engine that makes this possible.

ClustrixDB's most basic primitive is a compiled program called a query fragment. The query fragments are compiled all the way down to machine code and have a very rich set of operations they can perform. They can read, insert, update a container, execute functions, modify control flow, format rows, perform synchronization, and send rows to query fragments on other nodes. These query fragments are run on the nodes that contain the data. The communication between the nodes consists of just the intermediate and result rows needed for the queries. Many, many query fragments can operate simultaneously across the cluster. Those query fragments may be different components of the same query or parts of different queries. The result is the same: massive concurrency across the cluster that scales with the number of nodes.

## ClustrixDB Architecture Overview

ClustrixDB is essentially a distributed computing environment that includes a distributed query compiler and a shared-nothing parallel execution environment with a transactional concurrent storage engine (See Figure 1). These different parts work together to execute user queries with maximum efficiency, concurrency, and parallelism. Queries enter the system through the front-end network and are translated by the database personality module to an internal representation used by ClustrixDB. ClustrixDB then executes the queries in an efficient parallel fashion.

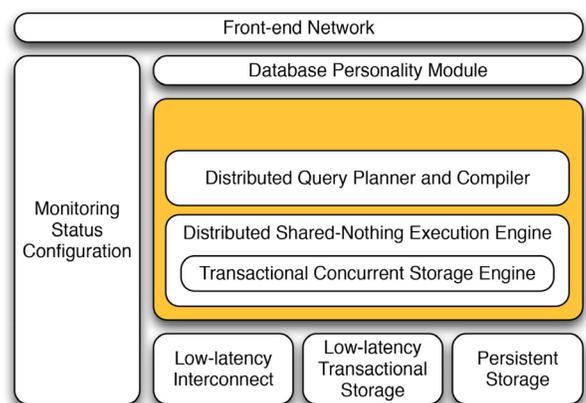


FIGURE 1: Block diagram

The best way to explain how the ClustrixDB engine works is to examine some example queries. This paper will go through a simple point select, a join, a select with order by and limit, and an insert, explaining what makes ClustrixDB unique. This will show how the flexible components that make up ClustrixDB enable it to resolve any query and do it with maximum concurrency.

## Data Layout

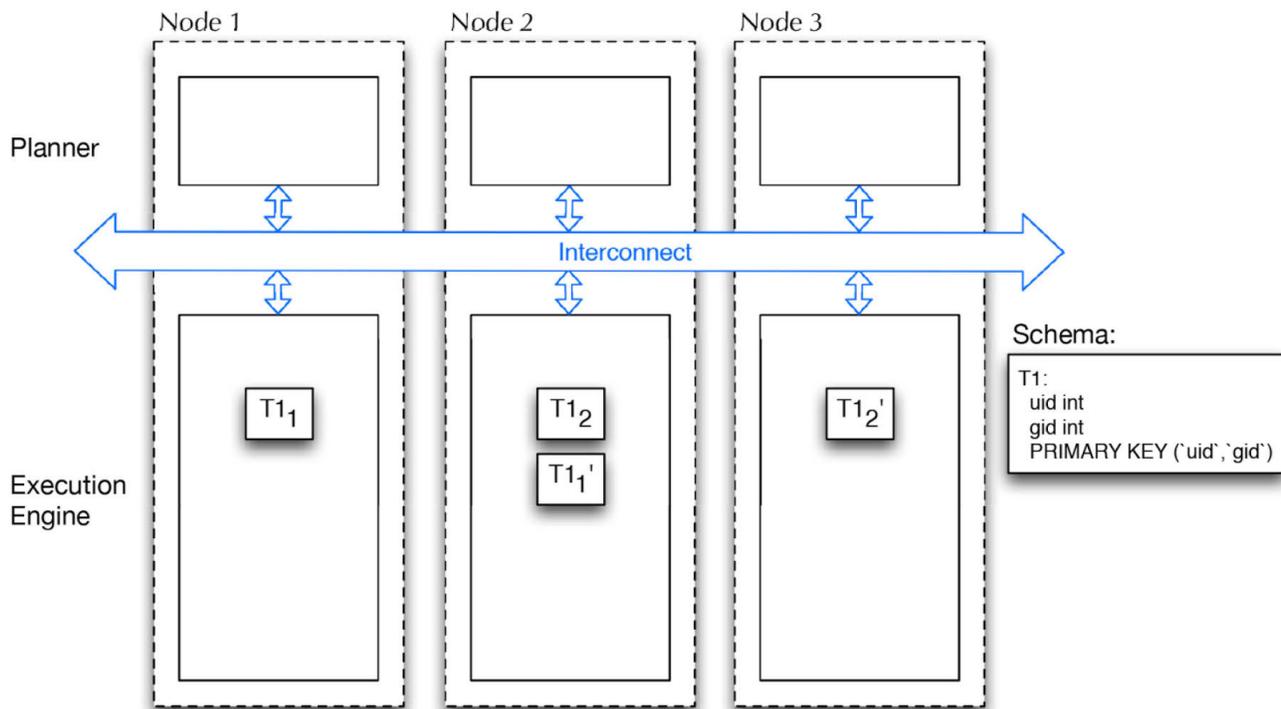


FIGURE 2: Data layout for a simple table

Figure 2 shows a physical representation of the data layout for a simple table, T1. ClustrixDB partitions tables into objects called slices and those slices have replicas for data redundancy. In this example, table T1 has 2 slices: T11 and T12; additionally, each slice has a replica labeled T11' and T12' respectively. The slice and its replica contain identical data and can be used interchangeably by ClustrixDB. There can be any number of slices per table, generally determined by table size. ClustrixDB will automatically and transparently split slices when they get too large. The number of slices can also be set by the user. The data placement of these slices and replicas throughout the cluster is dynamic. Slices can be moved while the cluster is online with no disruption to client queries. When a new node is added to the cluster, data is automatically moved there to rebalance the cluster. When a drive or a node fails, the slices that were contained on the failed device are automatically reconstructed using the remaining resources in the cluster. This data redundancy and automatic healing ensures there is no single point of failure in the cluster.

The data cache in ClustrixDB is local to the node that contains the data. In this example, the slice T11 and its related cache live on node 1. Writes go to all replicas for redundancy (T11 on node 1 and T11' on node 2 in this example). ClustrixDB treats one replica of a slice as the primary for reads (T11 here). Having a primary read replica ensures maximal cache utilization by avoiding caching the same data in multiple places in the cluster. ClustrixDB

can dynamically change which replica is primary based on dynamic load in the cluster. If you contrast this with a shared disk system that pulls the data to the query, you either have high latency for data movement around the cluster when queries are executed or you have to cache the data on the machine where the query is run. This can mean many copies of the same data in cache, greatly reducing cache efficiency.

The distribution of data among the slices is determined by a distribution function: `dist(<key>)`. That distribution function can either be range based or hash based. The number of components in a compound key contributing to the distribution can be selected. In the example in Figure 2, data can be distributed on (``uid``) or the combination (``uid`,`gid``).

## Point Select

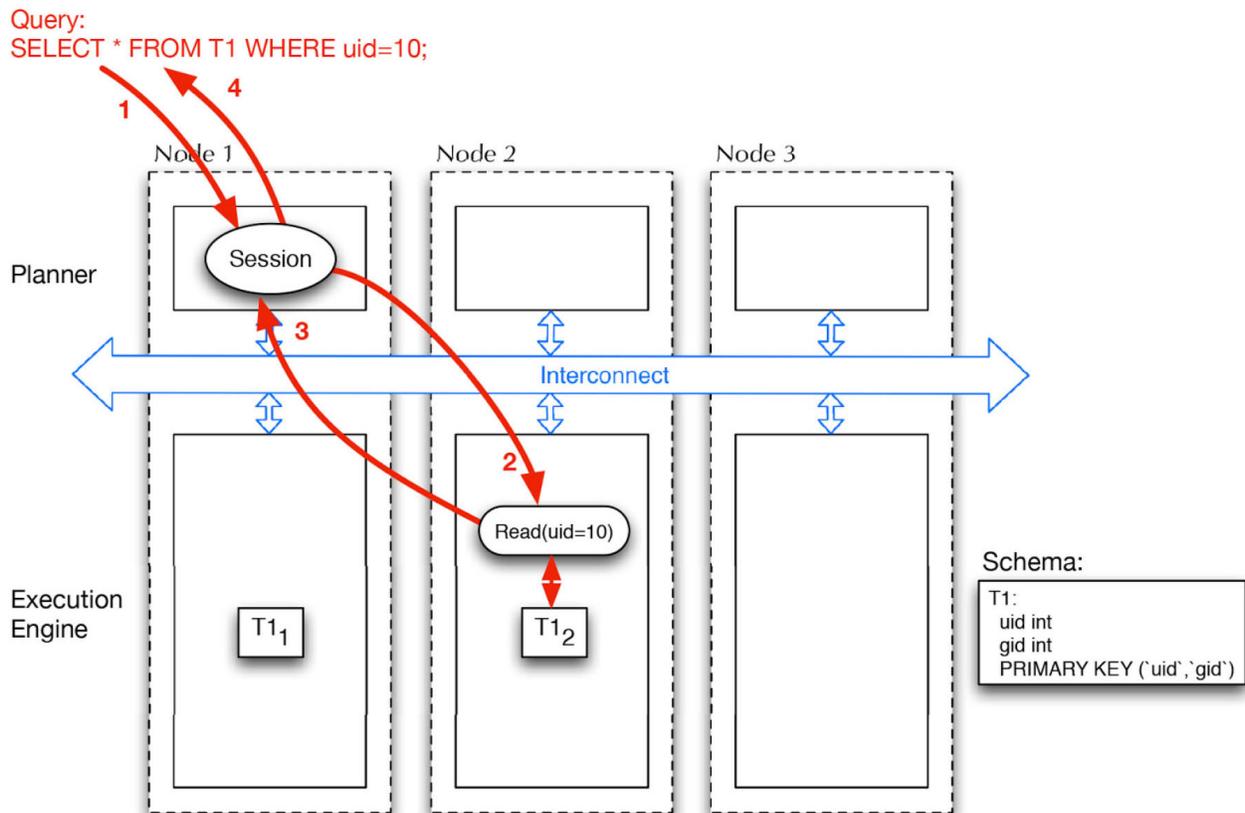


FIGURE 3: Point Select

Figure 3 describes the simplest of all queries in a database, the point select. For clarity, the replicas have been removed from the picture. In this example, a query comes into the client's session on node 1, the ClustrixDB planner generates a plan, this plan is executed in the execution engine, and the results returned to the client. There is more going on here than is at first obvious.

The SQL query in step 1 is parsed by the session and turned into a query fragment by the planner. Using the distribution function `dist(uid=10)`, the execution engine decides T12 is the slice that contains the relevant data. The query fragment, along with any necessary constants (`uid=10`) is what is sent to node 2 in step 2. The query fragment is represented in this diagram by the rounded rectangle. These query fragments can be cached with

parameterized constants so they don't have to be generated every time. In this case, the query fragment does a container read and finds all rows matching the constraint uid=10 and returns the rows in step 3. Those rows are then sent back to the client in step 4.

A point select in ClustrixDB involves no global table or row level locks. The execution engine does this with MVCC or Multi-Version Concurrency Control to ensure consistent data is returned. Very short-lived local page-level locks protect the on-disk data structures themselves. Even those locks are scalable given that they are per slice and the number of slices grows with the size of the table.

If you contrast this with a database that is distributed at the storage layer, the story is quite different. In that type of architecture, the data would be pulled to the node that is running the query. There, the locking is global and necessarily higher latency. Global lock contention and drastically increased data movement severely limits the potential scalability of that system.

## Two-Table Join

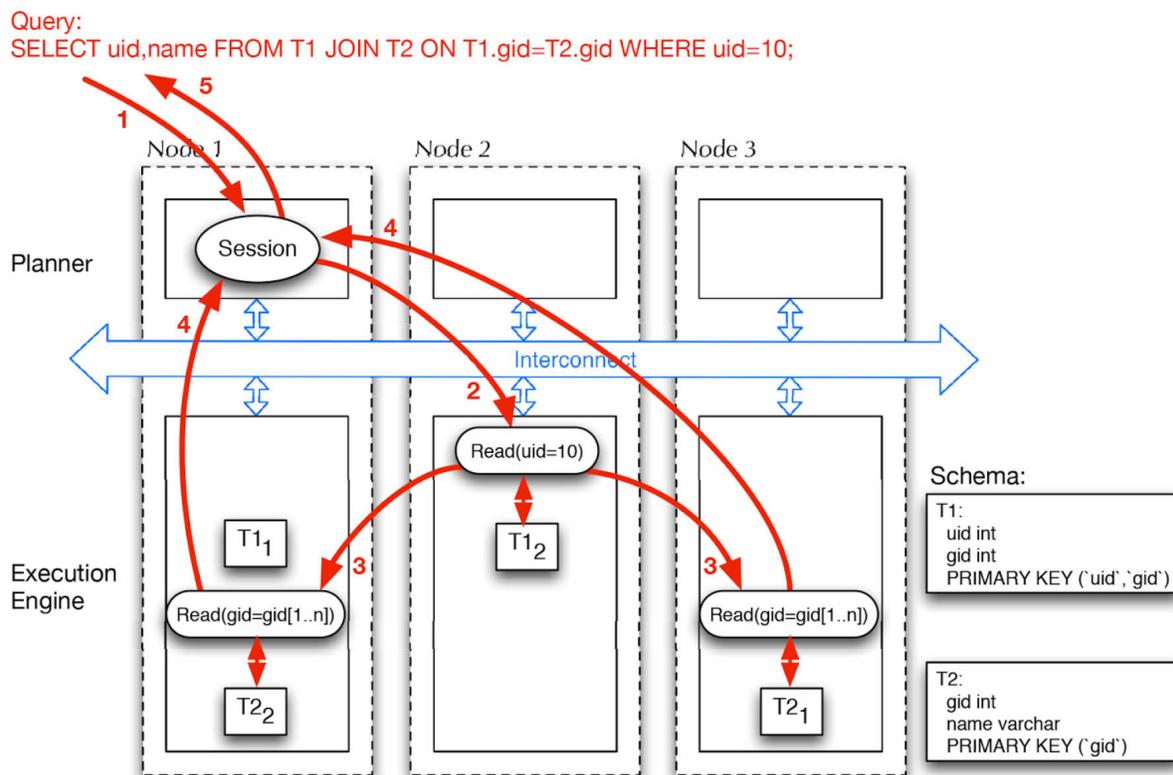


FIGURE 4: Table join with constraint

Figure 4 illustrates a two-table join with a constraint. Here, like with the point select, the query comes in, gets compiled down to machine code query fragments, is routed around the cluster for query resolution, and the rows are sent back to the client. The plan generated in this case is more complicated. The planner has flexibility in join order, access path (which index it uses), general operation ordering, distribution, and parallelism. ClustrixDB uses a variety of statistics to make intelligent decisions when making the plan. In this example, the generated plan is illustrated in Figure 5 as a dependency graph.

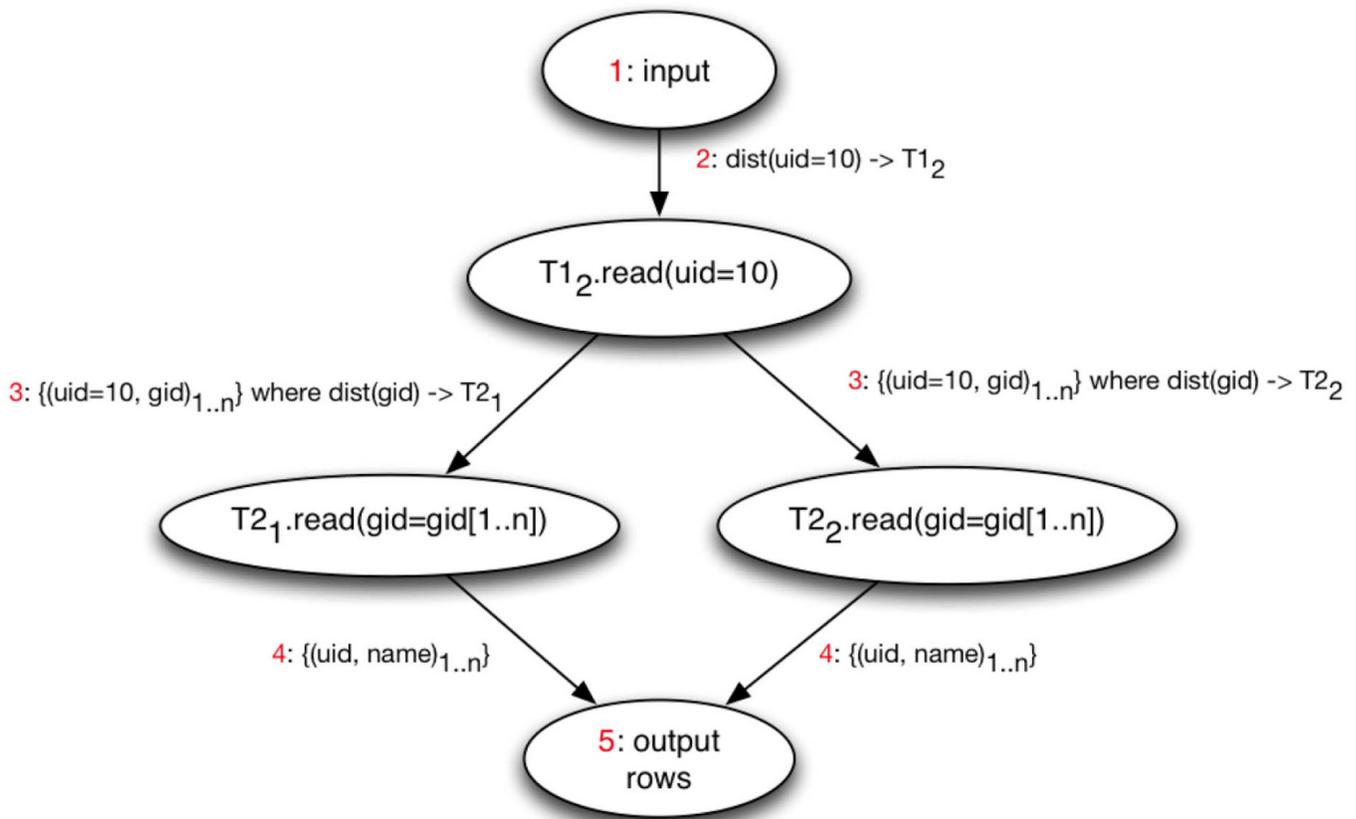


FIGURE 5: Dependency graph for two-table join

The dependency graph is closer to the internal representation the planner uses when creating the plan. There, it's easier to see the dependencies, of course, but it also illustrates which data is transferred in each step. The numbered steps in the dependency graph correspond with the steps in the physical representation.

As before, the SQL query in step 1 is compiled to machine code. In step 2, the query fragment is sent to the slice determined by the distribution function `dist(uid=10)` of the first table of the join. There, it finds all rows that match the constraint `uid=10` and forwards the rows along with the query fragments to the appropriate slices in step 3. Here, it uses the distribution function `dist(gid)` to decide where to forward each row retrieved from the slice T12. Slices T21 and T22 are read to find the rows that match the `T1.gid=T2.gid` constraint. The appropriate result rows are sent in step 4 to the session and the result is sent to the client in step 5. It's important to note that only the relevant columns of the necessary rows are sent at each stage. In this example, `(uid, gid)` is sent in step 3 and `(uid, name)` is sent in step 4.

In this join example, like the point select, there is no global locking, the data lookup for different slices happens in parallel, and there are no global points of contention. Given the scalable nature of the ClustrixDB architecture, the tables T1 and T2 can have any number of rows and any number of slices. As the cluster expands, the available resources to store tables and execute queries also expand. ClustrixDB truly represents full relational calculus capability at arbitrary scale.

## Select With Constraints, Order By, and Limit

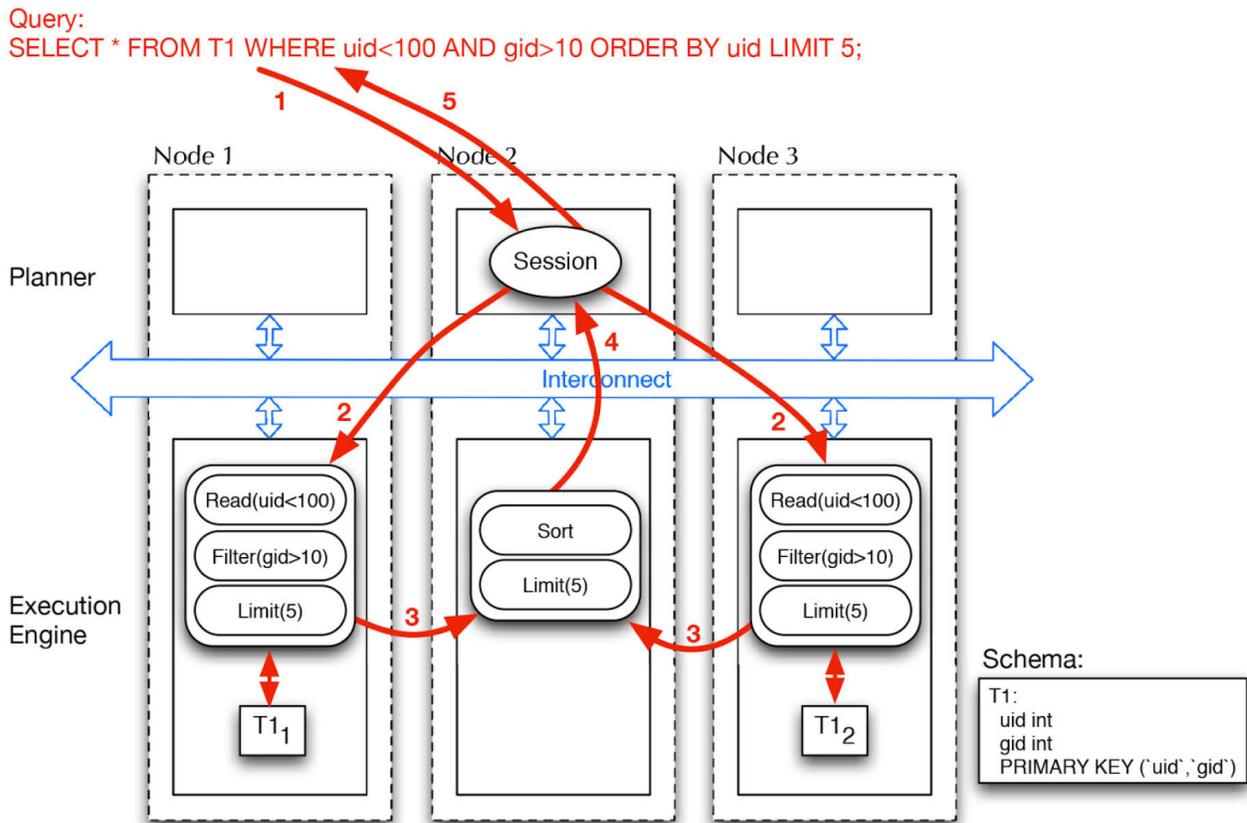


FIGURE 6: Group by with limit

Figure 6 shows a more complicated query that illustrates more of the optimizations available to ClustrixDB. The query has two constraints plus a limit and an order by. As before, the query enters the system in step 1 and is compiled into query fragments. In step 2, those query fragments are pushed to the nodes that match the distribution function `dist(uid<100)`, in this case, slices T11 and T12. These query fragments will do a container read for all rows matching the constraint `uid<100`, filter the resulting rows on the constraint `gid>10` and stop once it finds 5 rows. The query fragments don't have to do an explicit sort for this query because the data in the slice is already sorted by `uid`. Those resulting rows are forwarded to node 2 in step 3. On node 2, the result rows are sorted and the requested 5 rows are sent back to the session and to the client in steps 4 and 5.

Let's look more closely at what's going on here. As a general rule, the planner "pushes down" as many calculations as it can. That is to say, it pushes the calculations as close to the data as it can to reduce latency and data transfer. In this example, the filters are placed close to the data and the filtered rows never leave the node. If this were a traditional database with a shared-disk backend, all those calculations would happen on the initiating node and there would be more data movement, additional locking, and no appreciable parallelism resulting in far less efficient operation. Notice the "limit(5)" appears 3 times, applied close to the data and before sending rows to the client. This is an optimization ClustrixDB will do called "limit push down" to reduce data movement and total calculations required for the query. This same sort of optimization can be applied to other operators like `count(*)` – count the rows close to the data then aggregate the counts and return.

This query has the same lightweight locking behavior as the previous two examples. The query fragment primitive is general enough that a query of any complexity can be efficiently represented to execute with maximum parallelism and concurrency. In fact, as queries become more complicated, ClustrixDB has more opportunity for parallelization and optimization. By moving the query to the data and doing smart optimizations, ClustrixDB enables true scalability and flexibility for simple key/value lookups as well as complex relational expressions.

## Insert

Query:  
 INSERT INTO T1 VALUES (10,20);

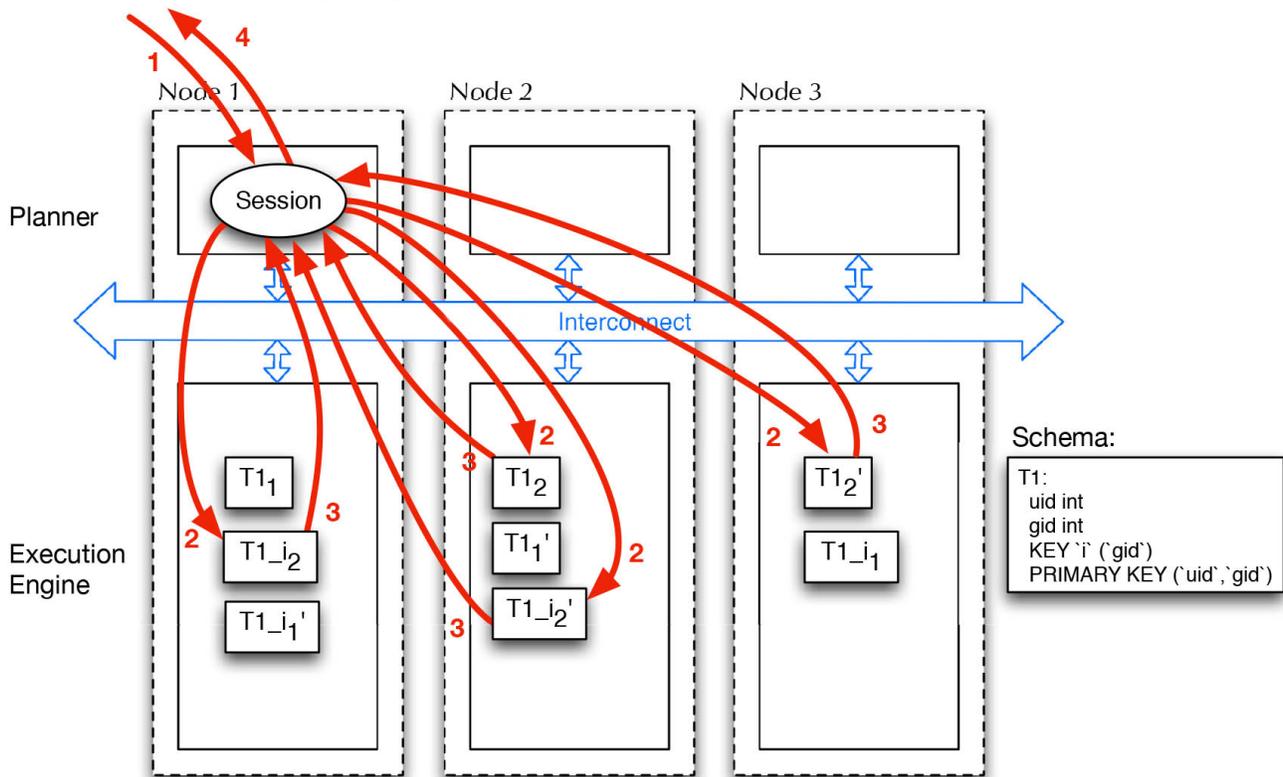


FIGURE 7: Insert with index

Write performance is often the biggest bottleneck of database solutions today. ClustrixDB goes to extreme lengths to provide scalable write as well as read performance. Figure 7 illustrates an insert to a table T1 that contains a secondary index marked as T1\_i in the diagram. This diagram includes all replicas of the data since they all get written transactionally. In the ClustrixDB architecture, indices are kept as separate tables internally, each with a distribution based on the columns being indexed. In this example, inserting the row (10,20) needs to update T12 of the base representation and T1\_i2 of the index and their replicas. Inserts follow the same flow in ClustrixDB as selects. The query arrives in step 1 and is compiled into query fragments. In step 2, the query fragments and the data are sent to the appropriate slices in parallel. The acknowledgments are sent back to the session and client respectively in steps 3 and 4. When the transaction is committed, ClustrixDB uses an optimized parallel distributed commit protocol.

Local locking is used in the insert case as well. Write operations are optimistic. If any of the query fragments sent out in step 2 fail, the transaction machinery will roll back all of the operations. Given ClustrixDB's use of MVCC, no other query will ever see the tables in an inconsistent state. The only locking employed in the insert path is local page-level locking on the on-disk data structure itself, just like in the select case. Just like with the select case, the insert concurrency allowed on the table grows with the table for a truly scalable solution.

## Conclusion

Move the query to the data, not the data to the query. ClustrixDB has taken this simple concept and driven it to the logical conclusion with great benefit. Data movement and global locking are minimized while concurrency and parallelism are maximized. ClustrixDB provides complete flexibility in data layout, which can be changed dynamically without interruption to the user. Queries ranging from simple point selects to complex joins and aggregates to inserts and updates are fast and efficient with ClustrixDB. ClustrixDB scales to any arbitrary size and the performance scales linearly with the data, representing a revolution in database functionality and scalability, while setting the bar for clustered database systems.

## ClustrixDB's Unique Capabilities:

**Scale-out Architecture** – As the load increases, administrators can easily add extra nodes to process the additional demand. ClustrixDB requires no change in the application because data and queries can auto-distribute. Additional reads, writes and updates can also be performed with more nodes.

**SQL and ACID** – ClustrixDB ensures all data is safe and reliable. It should also not require many application code changes or exceed the existing skillset of internal resources.

**Fault Tolerance** – ClustrixDB remains available regardless of node failures and geographic region outages.

**Multi-Version Concurrency Control (MVCC)** – Distributed MVCC is a key ClustrixDB capability that ensures analytic queries and writes do not interfere with each other. The analytic query reads a snapshot of the event as it was recorded. The write queries can then write newer versions. The older versions are subsequently deleted when no longer needed.

**Massively Parallel Processing (MPP)** – ClustrixDB uses multiple nodes and cores on each node in parallel to accelerate analytic queries.

## ClustrixDB delivers:

### **SPEED**

Reduce time to market for business-critical applications with the customer-proven, MySQL-compatible ClustrixDB software. It's easy to install and automates fault tolerance as the database grows—without requiring new skills. Just set, forget, and grow!

### **SCALE**

For the first time, a distributed relational database architecture lets you scale to unlimited users, transactions and data. Start fast, scale fast, grow big and never hit the wall.

## SIMPLICITY

With ClustrixDB you can break the vicious cycle of database cost and complexity by introducing a radically simpler approach that allows you to focus 100% on innovation and spend less on database maintenance.

# Clustrix

Clustrix provides the leading scale-out SQL database engineered for the cloud. With ClustrixDB you can build innovative business critical applications that deliver real-time analytics on live operational data with massive transactional volume. Our exceptional customer service team supports more than one trillion transactions per month across a range of industry segments including Ad Tech, e-commerce, and social analytics. Clustrix customers include AOL, engage:BDR, MedExpert, Photobox, Rakuten, Symantec, and Twoo.com. Headquartered in San Francisco, Clustrix is funded by HighBAR Partners, Sequoia Capital, U.S. Venture Partners, Don Listwin, and ATA Ventures. ClustrixDB is available as a free community edition for developers, a software download that runs on any cloud, and on the AWS marketplace.

To learn more about Clustrix, visit us at [www.clustrix.com](http://www.clustrix.com)

